DOCUMENT VERSIONS

Version	Pages modified / Observations
03/09/2020	First version of the document.

Section	Title
Identification of shareholders and beneficial owners	Cancellation of request for shareholder and beneficial owner information

FORMAT seev.046.001.01

General description

Cancellation of the request for information by an issuer of a security to the entities so that they communicate the list shareholders and/or beneficial owners of a security.

Origin/Destination

Issuer: Issuers, CSD
Recipient: IBERCLEAR

Issuer: IBERCLEAR
Recipient: Participants, CSD

Main related messages

Each seev.046 message cancels a previous request to the recipient of the message, releasing the recipient from the obligation to respond with the identification of ownership.

Relationship with Owner messages

It has an equivalent in HTITU12 for those who decide to receive a proprietary format.

Format structure

	Mult.	Or	Lv	<XML Tag>	Message Item
	[1..1]		0	**<ShrhldrsIdDsclsrReqCxlAdvc>**	Shareholders Identification Disclosure Request Cancellation Advice V01
	[1..1]		1	**<IssrDsclsrReqRef>**	Issuer Disclosure Request Reference
1	[1..1]		2	_<IssrDsclsrReqId>	Issuer Disclosure Request Identification
	[1..1]		2	_<FinInstrmId>	Financial Instrument Identification
2	[1..1]		3	__<ISIN>	ISIN
	[1..1]		2	_<ShrhldrsDsclsrRcrdDt>	Shareholders Disclosure Record Date
	[1..1]		3	__<Dt>	Date
3	[1..1]		4	___<Dt>	Date
4	[0..1]		1	**<CxlRsn>**	Cancellation Reason
	[0..1]		1	**<Issr>**	Issuer
	[1..1]		2	_<NmAndAdr>	Name and Address
5	[1..1]		3	__<Nm>	Name

Clarification of fields

1 Name: Issuer Disclosure Request Identification
   Format: Up to 35 alphanumeric positions.
   Content: Reference of disclosure request to cancel.
                Official and unique reference assigned to the request for disclosure of the holders.

2 Name   ISIN
   Format: 12 alphanumeric positions. Coding ISO 6166 [AZ] {2,2} [A-Z0-9] {9.9} [0-9] {1,1}.
   Content: Value code for which ownership identification is requested.

3 Name   Shareholders Disclosure Record Date
   Format: ISODate: YYYY-MM-DD.
   Content: Record date reported in the ownership identification request.

4 Name   Cancellation Reason
   Format: Alphanumeric with 4 positions.
   Content: Supported values:
                PROC: Processing. The cancellation request is due to a process error.
                WITH: Withdrawal. The cancellation request is sent by the issuer or a third party designated by it.

5 Name   Name and Address
   Format: 350 alphanumeric positions.
   Content: Issuer name.