

ARCO System. Fees.

Informative Memo 28/2024, 27th of September

Iberclear

September 2024

Please note that only the Spanish version of this document produces legal effect. Any translation is provided for commercial purposes only.

This informative memo aims to inform participant entities about the codification and the literal description to be used in order to identify in the corresponding invoices the fees charged by Iberclear from the date that the Circular 03/2024, 24th of September enters into force.

RULE		PARTICIPANT FEES	CODE
Circular 03/2017			
2nd Rule	Participation and account maintenance		
2.1	Accounts maintenance		MEC02
2.1	Additional account		MEC03
2.1	Pledged account		MEC05
2.2	Modification of T2S profile		MEA04
3rd Rule	Maintenance of the domestic securities register		
3.8a	Private debt safekeeping		SKC13
3.8a	AIAF safekeeping for Public Treasury		SKC22
3.8a	AIAF safekeeping in USD		SKC15
3.8a	AIAF safekeeping in GBP		SKC16
3.8a	AIAF safekeeping in CHF		SKC17
3.8a	AIAF safekeeping in JPY		SKC18
3.8a	AIAF safekeeping in MXN		SKC19
3.8a	AIAF safekeeping in DKK		SKC20
3.8b	Public debt safekeeping		SKC12
3.8c	Domestic equities safekeeping		SKC01
3.8e	AIAF safekeeping in physical securities		SKC21
3.8f	Global Notes safekeeping		SKC55
4th Rule	Maintenance of the foreign securities register		
4.3	AR Equities safekeeping		SKA06
4.3	BR Equities safekeeping		SKA07
4.3	AR Debt safekeeping		SKA53
4.3	BR Debt safekeeping		SKA54
4.4	IT Equities safekeeping		SKA33
4.4	FR Equities safekeeping		SKA34
4.4	NL Equities safekeeping		SKA35

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RULES Circular 03/2017		PARTICIPANT FEES	CODE
4.4	DE Equities safekeeping		SKA36
4.4	IT Public debt safekeeping		SKA40
4.4	FR Public debt safekeeping		SKA42
4.4	NL Public debt safekeeping		SKA43
4.4	DE Public debt safekeeping		SKA41
4.4	IT Private debt safekeeping		SKA47
4.4	FR Private debt safekeeping		SKA49
4.4	NL Private debt safekeeping		SKA51
4.4	DE Private debt safekeeping		SKA48
4.5	PT Equities safekeeping		SKA39
4.5	AT Equities safekeeping		SKA38
4.5	PT Public debt safekeeping		SKA45
4.5	AT Public debt safekeeping		SKA44
4.5	PT Private debt safekeeping		SKA52
4.5	AT Private debt safekeeping		SKA50
4.6	CH Equities safekeeping		SKA58
4.6	CH Public debt safekeeping		SKA59
4.6	CH Private debt safekeeping		SKA60
4.7	BE Public debt safekeeping		SKA61
4.7	BE Private debt safekeeping		SKA62
4.8	Link entity equities safekeeping		SKA11
4.8	Link entity private fixed income safekeeping		SKA14
4.8	Link entity public debt safekeeping		SKA57
5th Rule	Settlement and related services		
5.1	Free of payment settlement		SEC01
5.1	Delivery versus payment/with payment settlement		SEC02
5.1	Payment free of delivery		SEC06
5.2	Intra-position securities movement		SEC07
5.3	Stripping and reconstitution		SEA25
5.4	Pre-matching validation		SEA23
5.5	Recycling		SEA09
5.6	Automatic cancellation		SEA10
5.7	Daytime settlement		SEA24

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RULES Circular 03/2017		PARTICIPANT FEES	CODE
5.8	RTGS settlement		SEA12
5.9	Not linked auxiliary operations		SEA22
6th Rule	Cross-border settlement		
6.1	Delivery versus payment/with payment settlement CBS		SEC33
6.1	Intra-position securities movement CBS		SEC34
6.1	Free of payment settlement CBS		SEC35
6.1	Payment free of delivery CBS		SEC36
6.1	Recycling CBS		SEA37
6.1	Automatic cancellation CBS		SEA38
6.1	Daytime settlement CBS		SEA39
6.1	Recovery of CBF costs		SEC40
6.1	Recovery of Euronext Porto costs		SEC41
6.1	Recovery of Euronext Milan costs		SEC42
6.1	Recovery of Euroclear Nederland costs		SEC43
6.1	Recovery of Euroclear France costs		SEC44
6.1	Recovery of OEKB costs		SEC45
6.1	Recovery of NBB costs		SEC46
6.1	Recovery of SIX SIS costs		SEC47
6.2	Settlement outside T2S		SEC28
6.3	Settlement link entity Equities		SEA30
6.3	Settlement link entity Fixed income		SEA31
6.5	Settlement outside T2S – Market maker		SEC29
6.6	Accrued coupon management and capital gains		SEA32
7th Rule	Custody of domestic securities		
7.1	Domestic equities corporate action		CAA01
7.2	Market claims		CAA20
7.3	Reversa lof domestic corporate action		CAA10
7.4	Refund of withholdings		CAA13
7.5	Coupon payment paid in gross amount		CAA36
7.6	Quick refund		CAA16
7.7	Standard tax reclaim		CAA18
7.7	Late tax reclaim		CAA19
7.8	Tax voucher		CAA37

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RULES Circular 03/2017		PARTICIPANT FEES	CODE
7.9	Ballots creation		CAA38
7.10	Proxy voting Spanish Securities		CAA39
7.11	MT565/Seev.033 received		CAA46
7.12	MT565/Seev.033 received after Market Deadline		CAA47
7.13	Sending of HTITUEA and HTITUCD files		CAA48
8th Rule	Custody of foreign securities		
8.1	Foreign corporate action with instructions		CAA02
8.1	Foreign corporate action with instructions		CAA03
8.2	CA notification CBS		CAA23
8.3	CA settlement CBS		CAA22
8.4	CA instruction CBS		CAA24
8.5	CA late instruction CBS		CAA25
8.5	CA late instructions SIX SIS		CAA42
8.6	Market claim CBS		CAA26
8.7	Proxy voting instruction CBS		CAA27
8.8	Proxy late instruction CBS		CAA28
8.9	Admission ticket issued CBS		CAA29
8.10	Representation in the meeting CBS		CAA30
8.11	Tax reclaim CBS		CAA31
8.11	Tax reclaim SIX SIS		CAA43
8.12	Late tax reclaim CBS		CAA32
8.12	Late tax reclaim SIX SIS		CAA44
8.13	Tax voucher CBS		CAA33
8.14	Quick refund CBS		CAA34
8.15	Relief at source CBS		CAA35
8.16	Communication of French FTT declaration		CAA07
8.17	Ballots creation – Foreign Securities		CAA41
8.18	Proxy voting – Foreign securities		CAA40
8.19	Refund of withholding foreign securities		CAA45
9th Rule	Information and notifications		
9.1	BME-PC GUI users		COA01
9.2	Transmission		COA02
9.3	Custody services messaging		COA03

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RULES Circular 03/2017		PARTICIPANT FEES	CODE
9.4	Fixed part instructions report		COA04A
9.4	Variable part instructions report		COA04B
9.5	BME-PC GUI information query		COA06
9.6	Fixed part BME-PC GUI download		COA07A
9.6	Variable part BME-PC GUI download		COA07B
9.7	PTI Iberclear information request		COA05
9.8	SWIFT FIN message		COA09
9.9	Cash reconciliation		COA10
9.10	SWIFT costs PROD		COA11
9.10	SWIFT costs FORM		COA11
9.12	Translation of shareholders messaging		COA13
9.13	Messages sending to other interested parties		COA14
9.14	Invoices sending through Camt.077		COA15
10th Rule	Other services		
10.1	Manual input of instructions		OTA01
10.2	Issuance certificates		OTC02
10.3	Access to test environment		OTA03
10.4	Periodic and supplementary audit files		OTC04
10.4	Additional audit due to imbalance		OTC05
10.4	Subscription to the billing file		OTA09
10.5	Register of securities pledged as collateral		OTC06
10.6	Collateral management		OTA10
10.7	Inclusion/Exclusion of physical securities		OTC13
10.8	Assessment on operations		OTA11
10.8	Assessment of operations – Per hour		OTA12
10.9	Voluntary waiving		OTA14
10.10	Other charges with VAT		OTA07
10.10	Other charges without VAT		OTA08
10.10	Other services CBS		OTA15
10.11	Late communication of HTITU02		OTA16
10.12	Declaration file received and accepted by the AEAT		OTA17
10.12	Validation or acquisition IIC code request		OTA18
10.13	SDR mechanisms management		OTA19

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10.13	SDR contingency for unpaid situations	OTA20
11th Rule	Fees for incidents in compliance with information notification obligations to the information system	
11.1	Ratio – Trade ownership incidences	INF04
11.1	Ratio – Corporate action ownership incidences	INF05
11.3	Sessions – Trade ownership incidences	INF09
11.3	Sessions – Corporate action ownership incidences	INF10

RULES Circular 03/2017	ISSUER FEES	CODE
2nd Rule	Annual fee	
2.1	Annual membership fee for issuers	ISC01
3rd Rule	Register inclusions and exclusions	
3.1	Equities admission	ISC13
3.2	Fixed income admission	ISC21
3.3	Inclusion/Exclusion of warrants	ISC14
3.4	Registry of promissory notes program	ISC22
3.4	Issuance of promissory notes	ISC23
3.4	Total or partial cancellation of promissory notes	ISC24
3.4 bis	Partial nominal increase	ISC30
3.5	Total registry exclusion	ISC02
3.6	Partial registry exclusion	ISC03
3.7	Exclusion due to registry transfer	ISC04
4th Rule	Daily ownership notification service	
4.1	Final beneficiary data yearly fee	ISA05
4.1	Final beneficiary data for nominatives MAB not exempt and not admitted to trading	ISA31
4.1	Daily shareholders identification SICAVs	ISA49
4.1	Joint request for daily and occasional communication	ISA51
4.1	Joint request for daily and occasional communication of SICAVs BME MTF Equity	ISA52
4.1	Joint request for daily and occasional communication of registered shares of BME MTF Equity and companies not admitted to trading	ISA53
5th Rule	Ownership notification service at the request of the issuer	
5.1	Final beneficiary owner data request	ISA15
5.2	Final beneficiary owner data request MAB	ISA32

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RULES Circular 03/2017		ISSUER FEES	CODE
5.3	Final beneficiary owner data request	Obligations/Bonds	ISA33
5.4	Final beneficiary owner data request	Promissory notes	ISA37
5.5	Beneficial owners identification		ISA40
5.6	Beneficial owners identification	BME MTF Equity	ISA41
5.7	Punctual beneficial owner identification		ISA42
5.8	Processing of punctual identification request		ISA43
6th Rule	Management of corporate actions		
6.1	Split		ISC06
6.2	Pari passu		ISC07
6.3	Management of intermediate securities distributions		ISC09
6.4	Public offering on registered shares		ISA12
6.6	Late communication, amendment or cancellation of corporate action		ISA10
6.6	Reversal of corporate action		ISA11
6.7	Corporate actions managed through transfers between securities accounts - Equities		ISA16
6.7	Corporate actions managed through transfers between securities accounts - Debt		ISA34
6.8	Issuance of strippable securities		ISC26
6.9	Failure in communication of corporate action		ISA29
6.10	Communication of wrong information		ISA35
6.11	Failure of cash or securities on payment date		ISA36
6.12	Ballots creation		ISA45
6.13	Beneficial owners voting report		ISA46
6.14	Late corporate action settlement		ISA47
6.15	Post-RD/MKDT Corporate Action modification		ISA48
7th Rule	Other service		
7.1	Issuance of certificates		ISA19
7.2	Subscription to the billing file		ISA20
7.3	VPN Connectivity		ISA50
8th Rule	Other fees		
8.1	Assessment on operations		ISA27
8.1	Assessment on operations - Per hour		ISA28
8.2	Other services		ISA18

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RULES Circular 03/2017		RECOVERY OF T2S COSTS	CODE
12th Rule Participants and 9th Rule Issuers		Settlement services	
12 y 9	Delivery versus payment		T2SSE1
12 y 9	Free of payment/payment free of delivery		T2SSE2
12 y 9	Matching		T2SSE3
12 y 9	Intra-position securities movement		T2SSE4
12 y 9	Auto-collateralisation with payment bank		T2SSE5
12 y 9	Recycling		T2SSE6
12 y 9	Daytime settlement		T2SSE7
12th Rule Participants and 9th Rule Issuers		Information services	
12 y 9	A2A reports		T2SCO1
12 y 9	A2A queries		T2SCO2
12 y 9	U2A queries		T2SCO3
12 y 9	Message bundled into a file		T2SCO4
12 y 9	T2S transmission		T2SCO5

Madrid, 27th of September of 2024

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