

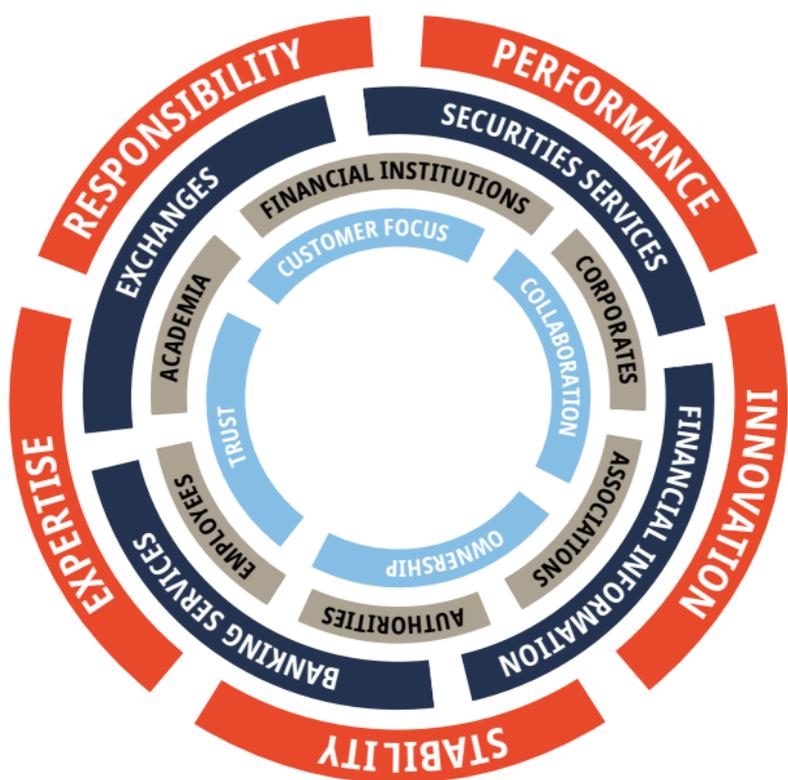


SIX



Drive!

The Future of Finance Is Now



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# THE FUTURE OF FINANCE IS NOW

Find out in our video how we are strengthening the international competitiveness of our customers.

[six-group.com/movie](https://six-group.com/movie)



# WELCOME TO SIX

PERFORMANCE  
INNOVATION  
STABILITY  
EXPERTISE  
RESPONSIBILITY

## OUR PURPOSE

We strengthen the competitiveness of our clients in our domestic and international financial markets through top-class services and innovation.

## WHAT WE DO

SIX provides and operates stable and efficient infrastructure for the Swiss and Spanish financial centers, thus ensuring access to the capital markets and the flow of information and money between financial market players. We are the Swiss competence center for payments and other banking services. We provide data, regulatory services, and indices to customers around the world.

EXCHANGES  
SECURITIES SERVICES  
FINANCIAL INFORMATION  
BANKING SERVICES



CUSTOMER FOCUS  
COLLABORATION  
OWNERSHIP  
TRUST

## WHAT WE STAND FOR

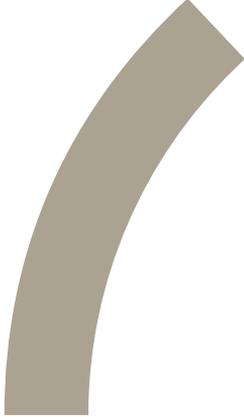
Our corporate culture is shaped by four core values.

**Customer Focus:** We generate added value for our customers.

**Collaboration:** We collaborate constructively with customers and partners, and work in teams.

**Ownership:** We assume responsibility and drive innovation.

**Trust:** We promote a culture of transparency and mutual trust.



## WHO WE WORK WITH

SIX connects financial market participants in Switzerland, Spain, and around the world.

We are owned by more than 120 national and international financial institutions. They are the main users of our infrastructure and are our most important clients.

FINANCIAL INSTITUTIONS  
CORPORATES  
ASSOCIATIONS  
AUTHORITIES  
EMPLOYEES  
ACADEMIA

## Drive — PERFORMANCE

Our outstanding services enhance our customers' performance in Switzerland, Spain, and international markets.

### **EXCHANGES**

As the operator of SIX Swiss Exchange and BME Exchange, we consolidate listing and trading under one roof. And with SIX Digital Exchange (SDX), we are defining the securities value chain of the future.

### **SECURITIES SERVICES**

From the initial clearing of a trade to final payment, SIX provides its customers access to its top-class range of post-trade services at every stage.

### **FINANCIAL INFORMATION**

As a global provider of financial information, SIX delivers high-quality reference, pricing, corporate action, and ESG data and provides regulatory services and indices.

### **BANKING SERVICES**

As the competence center for Swiss payment transactions, SIX supports banks with innovative services in an increasingly digitalized world.



*SIX Digital Exchange (SDX) –  
Transformation of the Securities  
Value Chain*

With SDX, SIX ensures security and reliability for the evolution of the financial ecosystem. SIX Digital Exchange integrates the issuance, trading, settlement, and custody of digital securities in a regulated environment.

## EXCHANGES

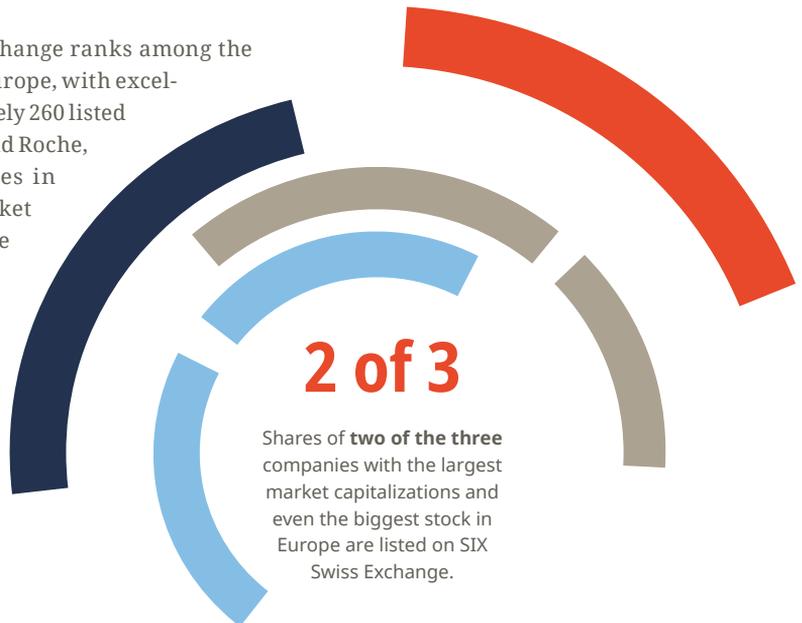
Measured in terms of the number of freely tradable shares, SIX – with SIX Swiss Exchange and BME Exchange – is the third-largest exchange group in Europe by free-float market capitalization. And with the SDX digital stock exchange, we leverage distributed ledger technology to offer a fully integrated, secure end-to-end service for the trading, settlement, and custody of digital assets.

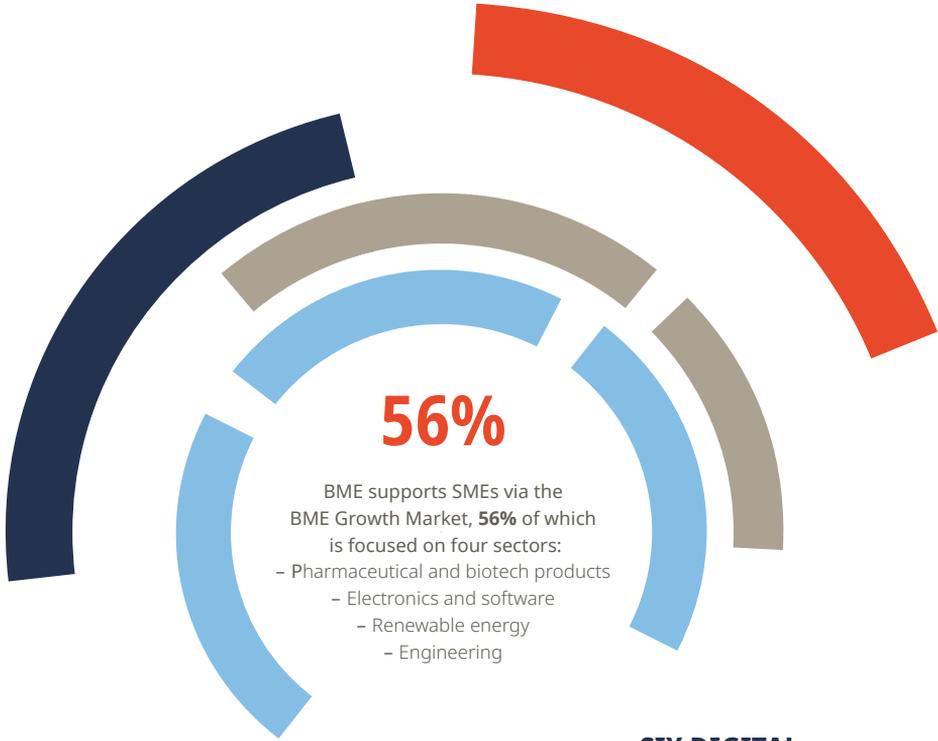
→ [six-group.com/exchanges](https://six-group.com/exchanges)

## SIX SWISS EXCHANGE

Whether as part of a flotation, a spinoff, or the listing of investment funds, the Swiss stock exchange has the answer to every need.

**Listing:** The Swiss stock exchange ranks among the leading trading venues in Europe, with excellent liquidity. Its approximately 260 listed companies include Nestlé and Roche, two of the three companies in Europe with the largest market capitalizations. We provide access to international financial markets and to highly capitalized domestic and foreign investors. With Sparks, we have launched a new equities segment specifically for small and midsize enterprises (SMEs).





## BME EXCHANGE

**Trading:** We provide access to more than 50,000 securities and products in a variety of trading segments and offer efficient exchange trading thanks to the latest technology, excellent trading conditions, and superior liquidity within an attractive securities universe.

**Market data:** As a reference market for more than 50,000 stocks, bonds, ETFs, ETPs, sponsored funds, and structured products, SIX Swiss Exchange is your reliable source when it comes to market data for Swiss securities and indices.

The Spanish stock exchange is based in four different cities: Madrid, Barcelona, Bilbao, and Valencia. We cover equities, fixed-income securities, and derivatives, and provide market data as well. Our products and services are geared toward companies throughout their entire life-span: from the pre-market environment to BME Growth and on to the main market. Moreover, the Latibex market is the bridge for Latin American companies to reach European investors.

## SIX DIGITAL EXCHANGE (SDX)

The SDX digital markets infrastructure will establish the Swiss financial center as a leading hub for digital assets within the global ecosystem in addition to playing a leading role in global wealth management. It will enable the trading, settlement, and custody of digital securities and will support the digital evolution of non-bankable assets to facilitate their exchange and risk management.

## SECURITIES SERVICES

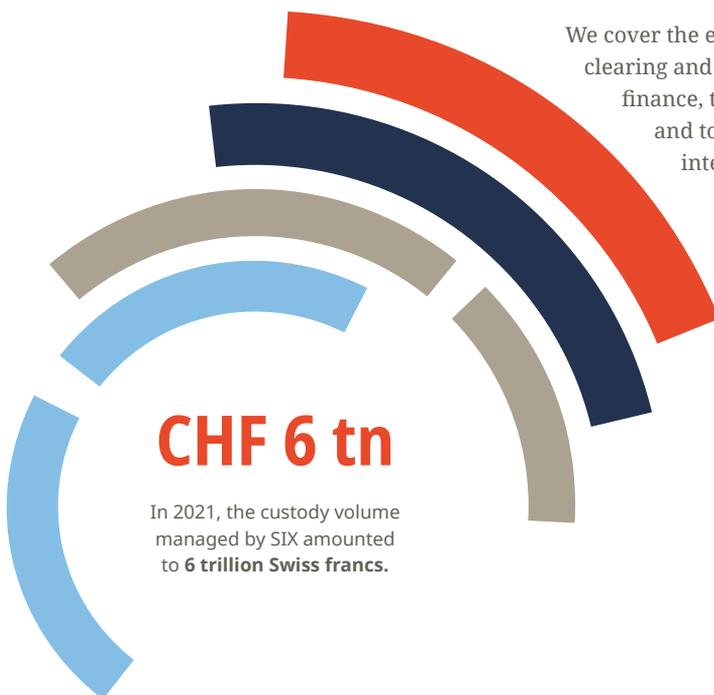
In the constantly evolving securities services sector, technology and innovation are of key importance. SIX is keenly aware of this and therefore continually invests in new technologies and innovative solutions. Our proprietary core system SECOM ranks among the most modern, secure, and – especially importantly – cost-efficient clearing and settlement systems in the world.

→ [six-group.com/securities-services](https://six-group.com/securities-services)

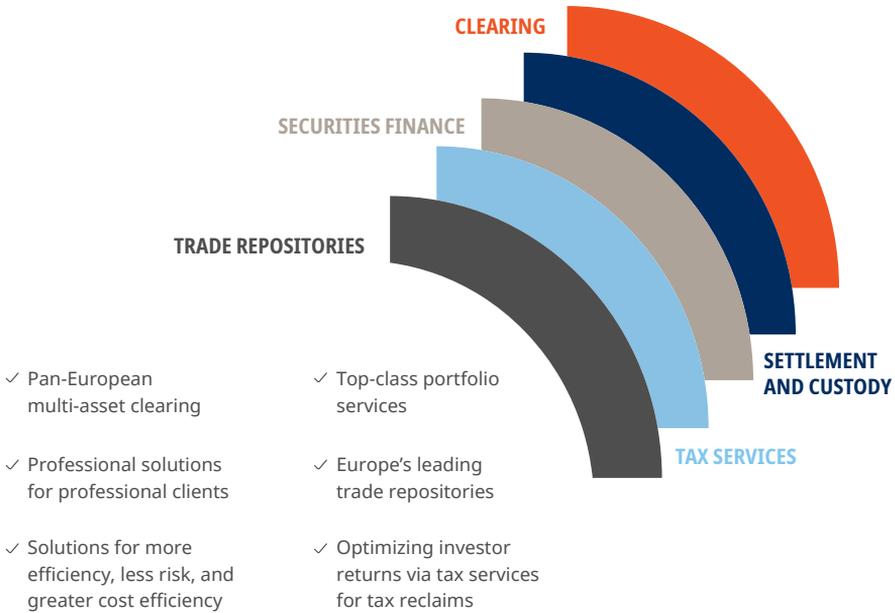
## POST-TRADE

We cover the entirety of post-trading: from clearing and settlement to custody, securities finance, tax reporting, and funds services, and to payment transactions via the SIC interbank payments system.

We manage risks and commitments via the most qualified, stable, and financially sound central counterparties in Europe and provide first-class custody services for more than 50 markets. Our CO:RE collateral and repo platform offers financial market participants a comprehensive palette of services associated with securities services and serves more than 160 financial institutions.



From clearing and settlement to custody, collateral management, and repurchase agreements, SIX offers a complete spectrum...



...of post-trade services and more along the entire value chain, doing so in more than 50 countries worldwide.

## FINANCIAL INFORMATION

For nine decades, we have been providing verified, structured, interlinked, and enriched data that smoothly integrate with our customers' workflows. Our added-value services allow our customers to focus their resources on growing their core business.

→ [six-group.com/financial-information](https://six-group.com/financial-information)

## REFERENCE AND PRICING DATA

Using data from over 5,000 sources worldwide, SIX aggregates data in real time for approximately 30 million financial instruments.

With its high-quality reference, pricing, and corporate actions data, SIX delivers the basis for confident business decisions. Our database covers a wide range of asset classes and legal entities.

SIX delivers security master data in a model-ready data format on integrated big data cloud platforms for the purpose of machine learning, deriving insights, and conducting analyses.

## ESG DATA

Institutional investors are increasingly turning their attention toward opportunities for sustainable investment. One of the major challenges surrounding ESG\* investments is sourcing reliable, consistent, and comparable ESG data. At SIX, we provide our clients with the high-quality ESG data they need to better serve their clients through sustainably orientated investment offerings. Our range of data services includes ESG regulatory data, alternatively sourced ESG performance data and ESG indices.

\*ESG stands for Environment, Social, and Governance.



**12x**

SIX has been recognized as the Best Corporate Actions Data Provider **12 times** in row (IMD/IRD Awards).

## REGULATORY SERVICES

Regulatory requirements worldwide are becoming increasingly complex. SIX helps financial institutions to comply with legal provisions, economic sanctions, and market requirements for more than 70 European and international regulations.

In collaboration with its clients, SIX develops services for suitability assessment, risk analysis, and reporting requirements. Through BME Inntech, we also offer innovative WealthTech and RegTech services.

## INDICES

SIX has a product range for the Swiss and Spanish markets that provides investors and issuers with the benchmarks they need to measure performance and trade key segments and strategies.

In addition to Switzerland's flagship SMI, SBI, and SARON indices, SIX also provides the IBEX 35 – the leading index for the Spanish market – and offers a broad range of Nordic benchmarks and a variety of ESG, strategic, thematic, and client-specific indices. Many ETPs, funds, and derivatives are based on indices from SIX, and billions of assets are associated with indices from SIX.

## DISPLAY AND DATA DELIVERY

SIX delivers its data in the right format at the right time via powerful data feeds, the cloud, APIs, or specific data packages, or through cloud platforms and partners. Customers order and pay for only the data they need.

The financial data display product SIX iD makes it easy to navigate a wealth of information from SIX and third-party providers in the office, on the move, or working from home.

## ETFs

The acquisition of ULTUMUS enables SIX to offer its clients access to a data universe on approximately 7,700 ETF products, which corresponds to 95% of the market, making SIX one of the biggest ETF and index data specialists in the world.

By combining the leading position of ULTUMUS regarding ETFs with the core competencies of SIX in the areas of trading, post-trade, and data services, SIX is able to offer market-leading services to its clients involved in the ETF market.

## BANKING SERVICES

We have been handling payment transactions in Switzerland for more than 30 years. When digitalizing processes, we take the lead and continue to develop the circulation of money, whether electronic, card-based, or cash. This allows banks to focus on their core business.

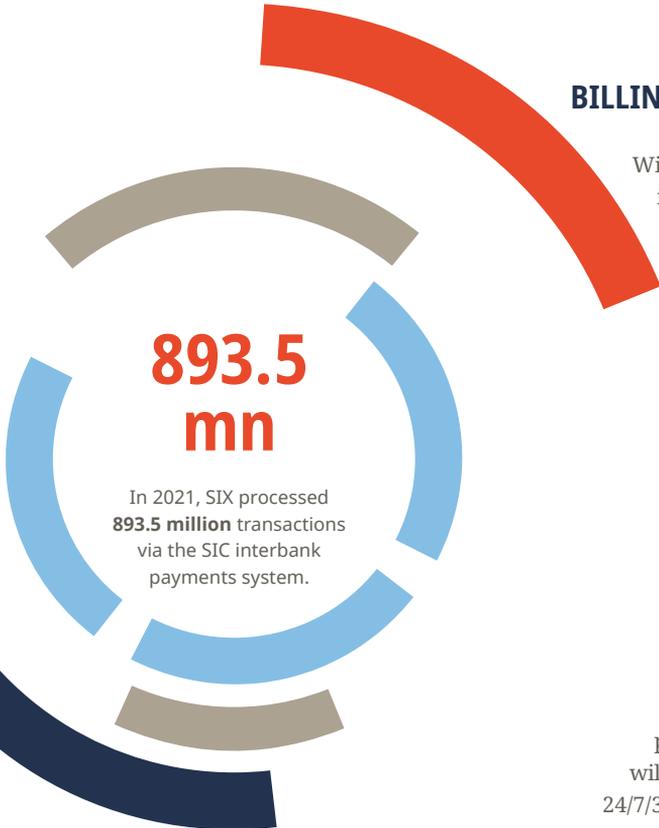
→ [six-group.com/banking-services](https://six-group.com/banking-services)

## BILLING AND PAYMENTS

With eBill, the payment of invoices in e-banking, SIX is driving the digitalization of payments. At the same time, the QR-bill bridges the gap between the paper-based world and the digital one.

On behalf of and under the supervision of the Swiss National Bank, all interbank payments in Swiss francs are processed via the SIC (Swiss Interbank Clearing) system operated by SIX.

Moreover, thanks to instant payments, customer payments will be processed within seconds, 24/7/365, beginning in 2024.



**893.5  
mn**

In 2021, SIX processed **893.5 million** transactions via the SIC interbank payments system.

## CASH AND ATMs

SIX operates more than 6,000 modern and efficient ATMs in Switzerland and Liechtenstein. Its services include monitoring of ATMs, coordination of maintenance, and handling of cash processes.

A unified software implemented by SIX standardizes and optimizes transactions across all ATMs.

## DEBIT AND MOBILE SOLUTIONS

SIX is the preferred partner for the processing of debit cards in Switzerland and Liechtenstein. Together with the banks, SIX has offered this new generation of cards since 2020.

Moreover, SIX assumes responsibility on behalf of the card issuer for the prevention of card-related fraud as well as for chargebacks, and offers e-commerce services for the new generation of cards.

## CONNECTIVITY (OPEN BANKING)

With bLink, SIX provides the Swiss financial center with an efficient, secure, and comprehensive open banking platform for the standardized exchange of data and services via cutting-edge interfaces (APIs).

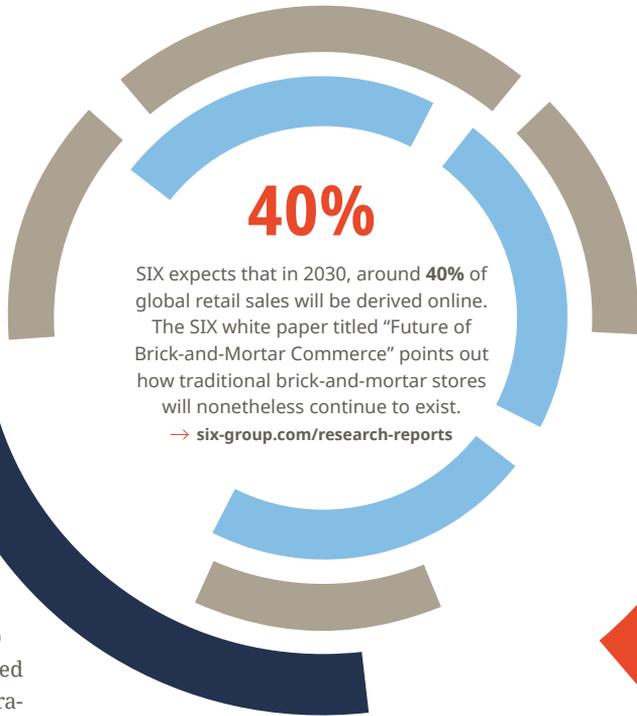
The platform allows its market participants to establish innovative API-based solutions for both private and business clients.

# Drive — INNOVATION

SIX is optimally positioned to play an active part in shaping the future of the Swiss financial center. We have a deep understanding of industry needs and expectations of consumers.

## INNOVATION FIELDS

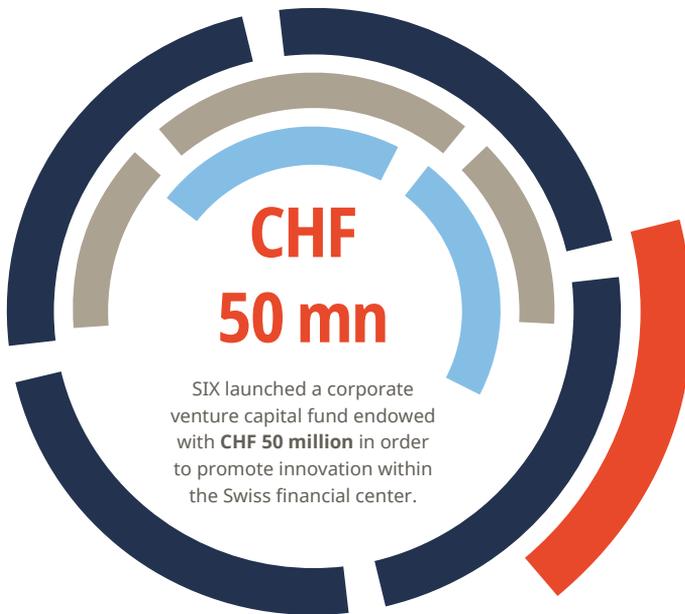
Innovation is firmly rooted at SIX. We are constantly exploring new avenues, questioning the status quo, and taking advantage of every business opportunity. Relying on specialist knowledge and expertise, SIX develops innovations and thought leadership in pertinent areas: SIX developed the world's leading market infrastructure for digital assets, created the basis for innovation in open banking and open finance, offers modern solutions for security and data protection, is a pioneer generating new ideas in investment and asset services



and in the area of data and analytics, and is transforming itself from a data provider to a provider of artificial intelligence.

→ [six-group.com/innovation](https://six-group.com/innovation)





## INNOVATION AS TRADITION

Innovation is embedded in the DNA of SIX: 1961 – the world’s **first televised stock exchange**; 1996 – the **first fully electronic trading**; 2021 – the launch of SIX Digital Exchange (SDX). With **SDX**, SIX will be operating within a fully regulated, integrated trading, settlement, and custody infrastructure for digital securities, all based on distributed ledger technology.

## PROMOTING STARTUPS

Since 2018, the SIX FinTech Ventures corporate venture capital fund has been investing in startups that have the potential to become established, successful companies that generate lasting benefits for the financial market. As early as 2015, the **F10 FinTech Incubator & Accelerator** began promoting startups with the potential to open new horizons for the financial centers of Switzerland, Spain, and Singapore. SIX founded F10 and is now one of 13 corporate members and its main sponsor.

→ [six-group.com/fintech-ventures](https://six-group.com/fintech-ventures)

→ [six-group.com/start-ups](https://six-group.com/start-ups)

# Drive — STABILITY

Without our powerful infrastructure, the Swiss economy would grind to a halt. SIX makes a vital contribution to the stability and security of the Swiss financial center.



## SECURITY AND AVAILABILITY

The systems of SIX are designed to withstand situations of extreme stress. In order to ensure **continuous operation**, the data centers by default are also fed by **independent and redundant power lines**. In the event of a serious power outage, operations switch over to the **backup data center**. Business continuity plans for all relevant business processes ensure that SIX is able to provide or quickly restore its services even in a crisis.

This commitment to our shareholders, more than 120 national and international financial institutions, guarantees stability, client centricity, and competitive rates.

## SUPERVISION AND REGULATION

All areas of SIX engaged in securities trading and settlement are subject to the direct supervision of the **Swiss Financial Market Supervisory Authority (FINMA)** and to the Swiss Financial Market Infrastructure Act (FMIA).

Swiss law requires that stock exchanges independently enact, monitor, and enforce regulations for issuers and stock exchange participants. The Regulatory Board is responsible for setting the rules, while the Sanctions Commission, the Appeals Board, and the Board of Arbitration pass judgments. **SIX Exchange Regulation** enforces the rules as an independent subsidiary of SIX.

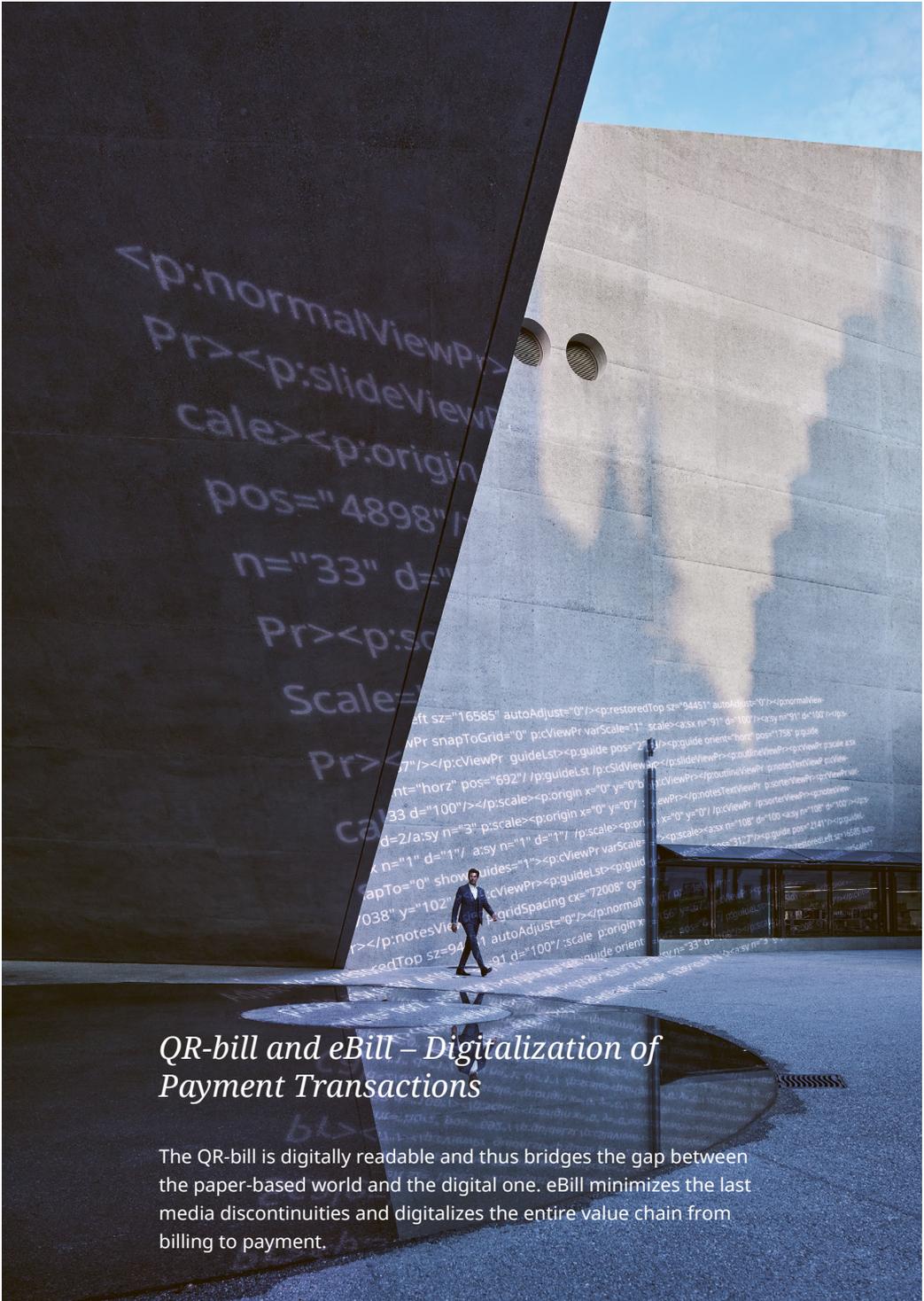
→ [ser-ag.com](https://ser-ag.com)

### 2 of 3 Approvals

In Switzerland, SIX holds **two of the three** regulatory approvals issued by the Swiss Financial Market Supervisory Authority (FINMA): one for SIX Swiss Exchange, and the other for the SDX digital exchange since 2021. The digital exchange offers a fully integrated and secure end-to-end service for the trading, settlement, and custody of digital assets.

## COMPLIANCE AND DATA PROTECTION

SIX and its employees naturally comply with all local, national, and international **laws and regulations**. In the course of its business activities, SIX collects and processes a large volume of data. **Protecting this data** is its top priority. SIX safeguards the personal rights and privacy of employees, clients, business partners, and other interested parties, and complies with national and international legal requirements. This is the foundation for our trusting business relationships.



## *QR-bill and eBill – Digitalization of Payment Transactions*

The QR-bill is digitally readable and thus bridges the gap between the paper-based world and the digital one. eBill minimizes the last media discontinuities and digitalizes the entire value chain from billing to payment.

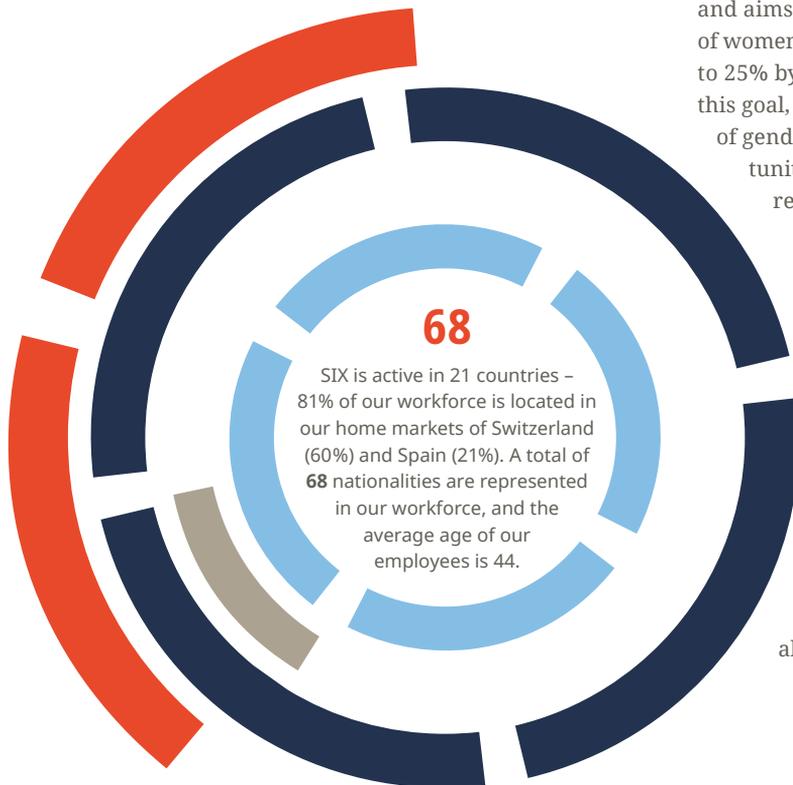
# Drive — EXPERTISE

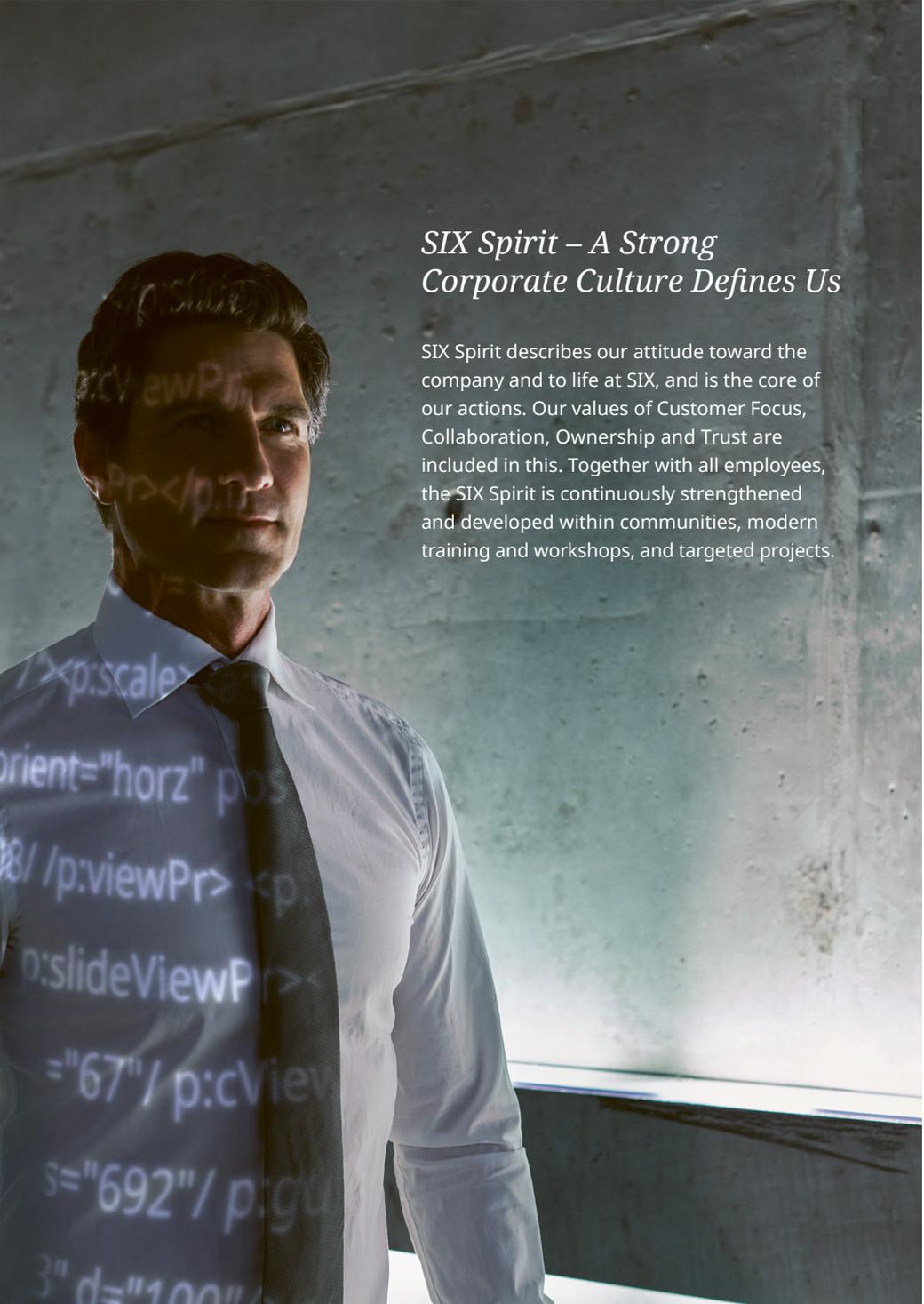
SIX invests in the ideas, knowledge, and the development of its roughly 3,800 employees. As experts with a variety of backgrounds and skills, they share their expertise with the entire financial center.

## DIVERSITY IN THE WORKFORCE

From acknowledged experts to ambitious young apprentices, our workforce includes people with a wide variety of experience, professional backgrounds,

and training. Almost a third of our workforce are women. SIX has the strategic goal of promoting inclusion and diversity within the company and aims to increase the proportion of women in management positions to 25% by 2023. In order to achieve this goal, SIX champions the causes of gender equality and equal opportunity. This includes unbiased recruiting, equal pay for equal work, work-life balance, fair and transparent career development, flexible working arrangements, and modern management principles. In addition, employee initiatives such as the Gender Diversity Network, Parents@Work, and the LGBT+ Community contribute in the same way as the Graduate or Internship Program for lateral entrants and immigrants.



A man in a white dress shirt and dark tie stands in front of a whiteboard. The whiteboard is covered in faint, glowing blue text that appears to be code or technical specifications. The man is looking slightly to the right of the camera with a neutral expression. The lighting is dramatic, with strong highlights and deep shadows.

## *SIX Spirit – A Strong Corporate Culture Defines Us*

SIX Spirit describes our attitude toward the company and to life at SIX, and is the core of our actions. Our values of Customer Focus, Collaboration, Ownership and Trust are included in this. Together with all employees, the SIX Spirit is continuously strengthened and developed within communities, modern training and workshops, and targeted projects.

## SIX AS AN EMPLOYER

SIX invests in bright people who will jointly shape the future of the financial markets. This includes not only **individual development programs**, but **modern forms of work** such as flexible annual working time, options to purchase additional vacation time, and working from home. We also support employees beyond their working time through a voluntary family allowance; four-week paternity leave; advice on medical, social, or legal questions; sports and recreation opportunities; public transit subsidies; and additional **discounts**.

→ [six-group.com/careers](https://six-group.com/careers)



## SHARING KNOWLEDGE

As a thought leader within the Swiss financial center, we not only consider the future, but also share our insights with all our stakeholders, be it in white papers, studies, during events, or in personal discussions. We are a member of many national and international **industry associations**, we cooperate with **universities** such as the Swiss Federal Institute of Technology in Zurich and the University of St. Gallen, and we collaborate with **startups** via the F10 FinTech Incubator & Accelerator.

# Drive — RESPONSIBILITY

As an essential player in the Swiss financial center, SIX supports the sustainable and responsible growth of the financial industry and drives it forward.

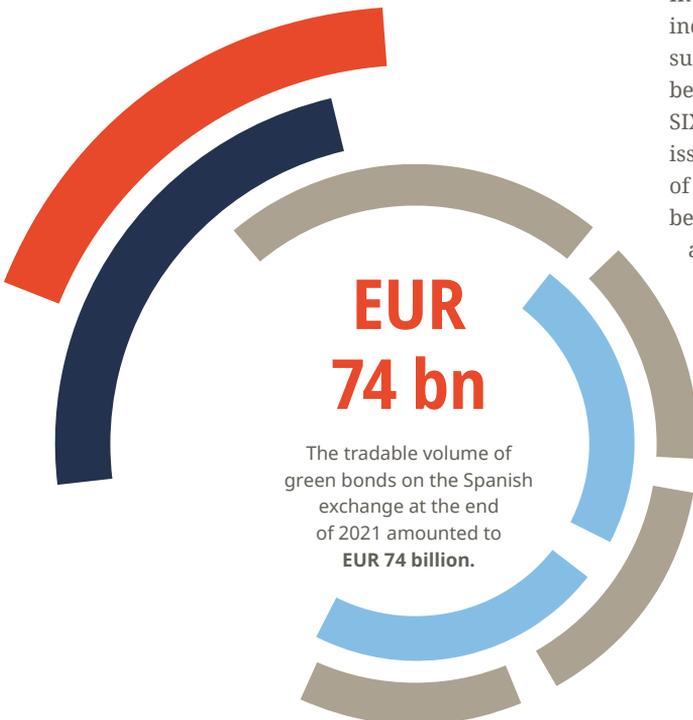
## SUSTAINABLE FINANCE

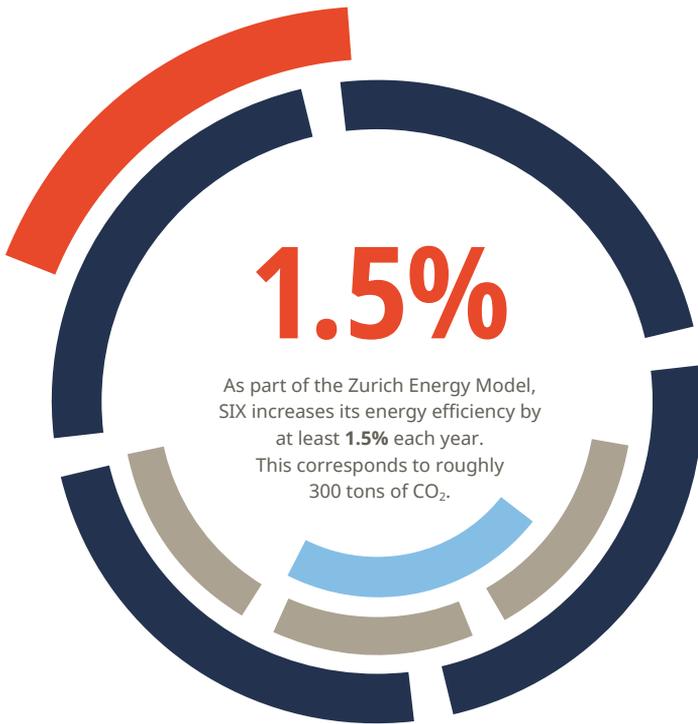
SIX Swiss Exchange has been a trading venue for **green bonds** since 2014 and for **sustainability bonds** as well since March 2020. Spain, the second domestic market for SIX, ranks among the ten most active countries worldwide in terms of issuing sustainable bonds.

With specific ESG equity and bond indices, SIX offers the basis for investing in sustainable Spanish and/or Swiss companies with financial products.

In addition, several of our Nordic indices offer filters for ESG, SRI, or sustainability that can be used for benchmarking or for index funds. SIX Exchange Regulation allows all issuers to opt in for the submission of **sustainability reports** that have been published in accordance with an internationally recognized standard (e.g. GRI).

Although SIX is not a publicly traded company, it leads by example and prepares its report in accordance with the GRI standard.





## ENVIRONMENT AND CLIMATE

SIX takes a mindful and responsible approach to the use of natural resources. We continuously evaluate measures for reducing our ecological footprint. As part of the Zurich Energy Model, we are committed to increasing **our energy efficiency** by 1.5% annually. Our **environmental management plan** includes waste separation and recycling, reducing plastic waste, and continuous improvements at our buildings. We are currently collaborating with the Myclimate Foundation to develop a comprehensive climate strategy.

Throughout the year, the SIX Social Days **corporate volunteering program** involves employees from various international locations, supporting non-profit environmental and social projects.

→ [six-group.com/responsibility](https://six-group.com/responsibility)

## FINANCIAL LITERACY

Today, self-determined participation in society is no longer possible without knowledge of the financial system and the money economy. Financial literacy has therefore become one of the most important elements of general knowledge. In its **publications**, and especially in tandem with the **Swiss Finance Museum** and the **Instituto BME** in Spain, SIX demonstrates how important the financial industry is in the daily life of each of us.

The first and only finance museum in Switzerland – a country known for its banks – explains the functioning of the economic system and the stock exchange by means of its multimedia and interactive exhibition. SIX also hosts **lectures** here and organizes **workshops** for children and adolescents. The Instituto BME facilitates financial literacy at all levels of society with a range of courses on the financial market and financial products, fintech, regulations, and more.

→ [finanzmuseum.ch](https://finanzmuseum.ch)

→ [institutobme.es](https://institutobme.es)



## *Future of Wealth Management*

Wealth management is considered one of the most important business activities in the Swiss financial sector, in which trillions of Swiss francs of personal assets are managed by domestic banks. However, as with other financial spheres, the wealth management sector faces a number of challenges, particularly with regard to technology and how data are handled.\*

\* White paper "Future of Wealth Management": [six-group.com/research-reports](https://six-group.com/research-reports)

## OUR PURPOSE

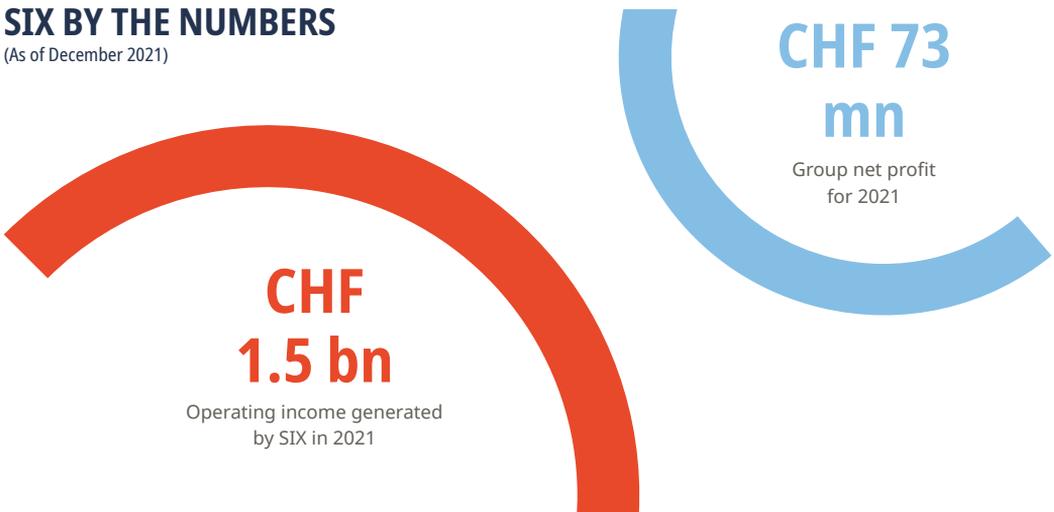
We strengthen the competitiveness of our clients in our domestic and international financial markets through top-class services and innovation.

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## SIX BY THE NUMBERS

(As of December 2021)





**1.28 trillion**

The trading volume (in Swiss francs) that was processed by the Swiss stock exchange in 2021



**3,800**

Employees working for SIX in 21 countries



**893 million**

Number of transactions that payment transactions generated between banks via SIC in 2021



**EUR  
2 trillion**

Combined free-float market capitalization of stocks trading on SIX Swiss Exchange and BME Exchange at the end of February 2022

Interested in more facts and figures? Take a look at the SIX Annual Report.  
→ [six-group.com/annual-report](https://six-group.com/annual-report)



*The Future  
of Finance  
Is Now*

→ [six-group.com/future-finance](https://six-group.com/future-finance)

## SIX Is Present in 21 Countries Worldwide



## **Read Our Blog**

[six-group.com/blog](https://six-group.com/blog)

## **Benefit from Our White Papers**

[six-group.com/research](https://six-group.com/research)

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